

2700 INTERNAL TRANSFER REQUEST FOR S.N.

09-346719

DATE: 11/29/99 FROM: Bui (print name)

FORWARD TO:

A. Art Unit: 2756 REASON(S):

B. Class: 709 A. You had Parent ☐ (check box)

C Subclass: 203 B. See Title ☐ (check box)

C. See Abstract ☐ (check box)

D. See Claim(s): _____

FURTHER EXPLANATION IF NEEDED: Nominal brokers
Client-server network?

DATE: _____ FROM: _____ (print name)

FORWARD TO:

A. Art Unit: _____ REASON(S):

B. Class: _____ A. You had Parent ☐ (check box)

C Subclass: _____ B. See Title ☐ (check box)

C. See Abstract ☐ (check box)

D. See Claim(s): _____

FURTHER EXPLANATION IF NEEDED:

DATE: 12/2/99 FROM: Shute (print name)

FORWARD TO CLASSIFIER

A. Art Unit: _____ REASON(S):

B. Class: _____ A. You had Parent ☐ (check box)

C Subclass: _____ B. See Title ☐ (check box)

C. See Abstract ☐ (check box)

D. See Claim(s): _____

FURTHER EXPLANATION IF NEEDED: 705/37
market monitoring with handling of respective
messages including (clm 4) trading quotations, indices, volumes, etc.

DISPOSITION BY 2700 CLASSIFICATION

DATE: 12/13/99 CLASSIFIER: LEG

FORWARD TO:

A. Art Unit: 2761 REASON(S):

B. Class: 705 A. You had Parent ☐ (check box)

C Subclass: 37 B. See Title ☐ (check box)

C. See Abstract ☐ (check box)

D. See Claim(s): _____

FURTHER EXPLANATION IF NEEDED:

Claims are directed to trading